

As a leading provider of technology services to the financial markets community, security is paramount to Pico. With a dedicated security staff of over 30+ years of combined experience in the capital markets and financial services industry. We are experts in providing security without compromising latency and speed.



PICO FOCUSES ON THE FOLLOWING POINTS IN OUR SECURITY SYSTEM:

#### **NETWORK AND HOST BASED**

Security Enhanced Linux (SE Linux)

TCP Wrappers

Firewall (IP/Tables/ SolarSecure)

Role Based Authentication Lease Privileged Access Model

### **Threat and Vulnerability Management**

Information is consumed and reviewed for applicability. Where applicable, mitigations are identified and deployed within security controls for prevention in addition to monitoring indicators which are configured for detection.

#### SOME EXAMPLES OF THREAT DATA INCLUDE:

- Known malicious sources corroborated within feeds and services
- Observed malicious indicators
- Active attempts on published vulnerabilities
- Targeted indicators specific to client and/or within the financial sector



#### **Identity & Access Management**

Ensures that only the appropriate personnel have access to the appropriate systems and the seamless integration across locations, providing the transparency and ease of use while making sure the appropriate access is maintained, reviewed and monitored.

## **Enterprise Security Monitoring**

Continuously make investments in our technology and people, partner with industry leading security technology providers and follow the standards and frameworks (NIST, COBIT, ISO) that is critical to our customer's needs.

#### **Audit & Compliance Services**

Partner with our clients to provide the assurance and transparency needed in today's organizations by thinking ahead and helping design, implement and provide the ongoing assurances and transparency needed.

# PICO IS SOC2 TYPE II COMPLIANT

Our experience in the highly visible and regulated, fast-paced financial services and capital markets industry, ensures you can trust us to help you maintain a strong security posture.

Our security team holds extensive security stock exchange experience, as well as a vast experience in regulatory, audit, reviews and standards (SEC, CFTC, FFIEC, REG-SCI, COBIT, NIST, ARP, SOC, SOX 404, FINRA and more).







## **CORPORATE INFORMATION SECURITY FRAMEWORK - NIST FRAMEWORK**

IDENTIFY	PROTECT	DETECT	RESPOND	RECOVER
<ul><li>Asset management</li><li>Business environment</li></ul>	<ul><li>Access control</li><li>Awareness training</li></ul>	<ul><li>Anomalies and events</li><li>Security continuous</li></ul>	<ul><li>Response planning</li><li>Communications</li></ul>	<ul><li>Recover planning</li><li>Improvements</li></ul>
<ul><li>Governance</li><li>Risk assessment</li></ul>	<ul><li>Data security</li><li>Information protection</li></ul>	monitoring  Detection process	<ul><li>Analysis</li><li>Mitigation</li></ul>	<ul><li>Communications</li></ul>
<ul><li>Risk management state</li></ul>	& procedures  Maintenance		Improvements	
	<ul><li>Protective technology</li></ul>			

To learn more about our technologies and strategies monitored and managed by our dedicated staff, please visit <a href="https://www.pico.net/expert-services/security">https://www.pico.net/expert-services/security</a>.